

Pertinent Excerpts from
Dike Stability Investigation Report
Ames Municipal Electric System
Ames, IA
Wenck Associates, Inc
April 2016

Field Investigation Summary (Section 3.0)
Soil Boring Map (Figure 2)
SPT Boring Logs (Appendix C)
CPT Sounding Results (Appendix D)
Soil Sampling Laboratory Test Results (Appendix E)

3.0 Field Investigation

3.1 SOIL BORINGS AND SOUNDINGS

Standard Penetration Test (SPT) soil borings were completed in four locations as shown on Figure 2. Soil sampling was conducted with a split spoon sampler at 2.5-foot intervals to 30 feet, then at 5-ft intervals to the termination depth of each borehole. Soil from each split-spoon was field classified by manual-visual methods and then collected and labeled in containers for laboratory classification. In addition, Shelby Tube samples of cohesive material encountered were collected for potential laboratory testing.

The Cone Penetration Test CPT soundings are performed by pushing a cone-shaped instrument through the subsurface. The instrument measures tip resistance, sleeve friction and pore pressure at approximately 20 cm intervals. The measurements are correlated to a pre-defined range of soil behavior types to produce a relatively high-resolution estimate of the subsurface composition. In this investigation, CPT soundings were completed in eight locations. Four of the locations corresponded with the SPT boring locations. The remaining CPT soundings were performed in independent locations, as shown on Figure 2. Borehole and sounding depths and soil sample types collected are given in Table 1 below:

Table 1: Borehole and Sounding Depths and Soil Sample Types

Borehole ID	Proposed Depth	Completed Depth	Sampling
SPT-1	30	27.5	Split-Spoons, Shelby Tubes
SPT-2	70	60	Split-Spoons, Shelby Tubes
SPT-3	30	30	Split-Spoons, Shelby Tubes
SPT-4	70	70	Split-Spoons, Shelby Tubes
CPT-1	30	30	--
CPT-2	70	65	--
CPT-3	30	31	--
CPT-4	70	70	--
CPT-5	30	41	--
CPT-6	70	71	--
CPT-7	30	31	--
CPT-8	70	71	--

3.2 SUMMARY OF GEOLOGIC AND HYDROGEOLOGIC CONDITIONS

The subsurface materials encountered in the pond dikes during this investigation were consistent throughout the site. Fill material was generally encountered from the surface to approximately 12 feet below the surface. The fill material was composed of dark gray to brown lean clay with trace organics and lenses of sand and fat clay. The fill material was generally underlain by undisturbed sandy lean clay alluvium to a depth of approximately 16-21 feet below top of dike. This material was dark brown in color, medium stiff to stiff, and dry to moist. Course grained alluvium ranging from poorly graded sand with trace gravel to poorly graded sand with silt or trace clay was generally encountered below the sandy lean clay alluvium to the end of the borehole. The sand was brown, fine to coarse grained, loose to medium dense, and wet. The groundwater table was generally encountered between 18 and 22 feet below the top of dike in the course grained alluvium.

Subsurface information collected from the SPT borings was used to create boring logs representing the subsurface conditions encountered at each SPT borehole location. The subsurface geology described above can be referenced on these boring logs which are included in Attachment B.

The subsurface conditions indicated by the CPT soundings corresponding to boreholes SPT-1, SPT-2, SPT-3 and SPT-4 (see Figure 2) indicated good agreement with the conditions shown on the SPT boring logs. The CPT soundings at locations CPT-6, CPT-7, and CPT-8 indicated similar subsurface conditions to those encountered in locations 1, 2, 3, and 4. The sounding at location CPT-5 indicated fine-grained material from approximately 23 feet below top of dike to the end of the sounding at approximately 40 feet below top of dike. This differs from the coarse-grained alluvium encountered at this depth interval at other locations. Appendix C contains the SPT sounding logs.

3.3 SOIL SAMPLE TESTING

Soil samples collected during the investigation were reviewed and representative samples were selected for laboratory testing. Selected samples were tested at the Braun Intertec Corporation soils laboratory in Cedar Rapids, IA for the following:

- ▲ Atterberg Limit Tests (ASTM D 4318)
- ▲ Grain Size Analysis (sieves through #200) (ASTM D 422)
- ▲ Tri-Axial Compression Testing, Consolidated-Undrained (ASTM D 4767)

Test results are presented in Appendix D. The test results were used to verify field soil classifications and estimate soil engineering properties. The Atterberg Limit and grain size analysis (index parameter) test results are summarized in the table below:

Table 2: Summary of Index Parameter Test Results

Borehole ID	Sample Depths (ft)	Material Classification	%Sand	%Silt	%Clay	LL	PL	PI
STP-1	18-20	Sandy Lean Clay (CL)	11.7	62.5	25.8	38	17	21
STP-2	8-10	Sandy Lean Clay (CL)	41.4	32.7	25.8	27	12	15
STP-3	16-18	Sandy Lean Clay (CL)	32.9	46.2	20.9	27	17	10
STP-4	11-13	Sandy Lean Clay (CL)	16.0	49.4	34.4	52	22	30

Tri-axial compression tests (consolidated-undrained) were performed on two soil samples from the pond dikes to evaluate the shear strength of the material. The test results were then used to estimate the soil engineering properties described in Section 3.4. The results of the tri-axial compression tests are shown below:

Table 3: Summary of Tri-Axial Compression Test (CU) Results

Borehole ID	Sample Depths (ft)	Material Classification	Effective Friction (degrees)	Effective Cohesion (tsf)	Total Friction (degrees)	Total Cohesion (tsf)
STP-2	8-10	Sandy Lean Clay (CL)	28.3	0	26.6	0.23
STP-3	16-18	Sandy Lean Clay (CL)	30.4	0	18.1	0.12

3.4 SOIL ENGINEERING PROPERTIES

Soil engineering properties for the surface impoundment dike materials and native subsoils were estimated from SPT test results collected during the field investigation and the tri-axial compression test results. Effective angle of internal friction was estimated for each soil type from N-values corrected for overburden pressure using a correlation proposed by Peck, Hanson and Thornburn (1974) as given in a publication by T.F. Wolff (1989). These values were compared to the tri-axial compression test results and conservative peak strength values were assigned to each soil type. The table below gives the engineering properties for the main material types found at the site:

Table 4: Soil Engineering Properties

Material Type	Unit Weight (pcf)	Effective Angle of Internal Friction, ϕ' (Degrees)	Cohesion, c' (psf)
Fill Material	115	30	50
Sandy Lean Clay (CL)	115	28	25
Poorly Graded Sand	110	30	0



AMES MUNICIPAL ELECTRIC SYSTEM
Soil Boring and Cross Section Locations



MAR 2016
Figure 2

Appendix C

SPT Boring Logs

Braun Project B1510576 Geotechnical Evaluation Ames Municipal Electric Ames, Iowa	BORING: STP-1
	LOCATION: See Attached Sketch

DRILLER: K. Elliott/K. Simpson	METHOD: 3 1/4" HSA, Autohammer	DATE: 11/2/15	SCALE: 1" = 4.4'
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(See Descriptive Terminology sheet for explanation of abbreviations)

LOG OF BORING N:\GINT\PROJECTS\CEDARRAPIDS\2015\B1510576.GPJ BRAUN_V8_CURRENT.GDT 12/9/15 17:08

Depth feet	Symbol	Description of Materials (Soil-ASTM D2488 or D2487, Rock-USACE EM1110-1-2908)	BPF	WL	Tests or Notes
0.0	FILL	FILL: Lean Clay trace Organics, with Sand and pockets of Fat Clay, dark gray and brown, dry-to-moist	(7) (9) (8)		
13.0	CL	SANDY LEAN CLAY trace Gravel, dark brown, moist, soft to medium (Alluvium)	(8) (5) (3)		
21.0	SP-SC	POORLY GRADED SAND with CLAY trace Gravel, fine- to coarse- grained, brown, moist, very loose to medium (Alluvium)	(5) (2) (13)	▽	PP= 1.0 tsf
27.5		END OF BORING. Water observed at 22 feet while drilling. Boring then backfilled.			

Braun Project B1510576 Geotechnical Evaluation Ames Municipal Electric Ames, Iowa			BORING: STP-2 LOCATION: See Attached Sketch		
DRILLER: K. Elliott/K. Simpson	METHOD: 3 1/4" HSA, Autohammer	DATE: 11/4/15	SCALE: 1" = 4.4'		
Depth feet	Symbol	Description of Materials (Soil-ASTM D2488 or D2487, Rock-USACE EM1110-1-2908)	BPF	WL	Tests or Notes
0.0	FILL	FILL: Lean Clay trace Organics, with Sand and pockets of Fat Clay, dark brown and gray, dry-to-moist	(12)		
10.5	CL	SANDY LEAN CLAY trace Organics, dark brown, dry-to-moist, medium to rather stiff (Alluvium)	(10)		
16.0	SP	POORLY GRADED SAND trace Gravel, fine- to coarse-grained, brown, dry-to-wet, very loose to medium (Alluvium)	(3)		
27.0	SP-SM	POORLY GRADED SAND with SILT trace Gravel, fine- to medium- grained, brown-gray, wet, medium (Alluvium)	(12)		
			(6)	▽	
			(13)		
			(13)		

(See Descriptive Terminology sheet for explanation of abbreviations)

LOG OF BORING N:\GINT\PROJECTS\CEDARRAPIDS\2015\B1510576.GPJ BRAUN_V8_CURRENT.GDT 12/9/15 17:08

Braun Project B1510576 Geotechnical Evaluation Ames Municipal Electric Ames, Iowa			BORING: STP-2 (cont.) LOCATION: See Attached Sketch		
DRILLER: K. Elliott/K. Simpson		METHOD: 3 1/4" HSA, Autohammer	DATE: 11/4/15	SCALE: 1" = 4.4'	
Depth feet	Symbol	Description of Materials (Soil-ASTM D2488 or D2487, Rock-USACE EM1110-1-2908)	BPF	WL	Tests or Notes
35.0		POORLY GRADED SAND with SILT trace Gravel, fine- to medium- grained, brown-gray, wet, medium (Alluvium) (continued)			
41.0	SP	POORLY GRADED SAND with Gravel, fine- to coarse- grained, gray, dry-to-wet, medium to dense (Alluvium)	(19)		
			(15)		
			(20)		
			(53)		
60.0		END OF BORING. Water observed at 20 feet while drilling. Boring then backfilled.			

(See Descriptive Terminology sheet for explanation of abbreviations)

LOG OF BORING: N:\GINT\PROJECTS\CEDARRAPIDS\2015\B1510576.GPJ BRAUN_V8_CURRENT.GDT 12/9/15 17:08

Braun Project B1510576 Geotechnical Evaluation Ames Municipal Electric Ames, Iowa			BORING: STP-3 LOCATION: See Attached Sketch		
DRILLER: K. Elliott/K. Simpson	METHOD: 3 1/4" HSA, Autohammer	DATE: 11/3/15	SCALE: 1" = 4.4'		
Depth feet	Symbol	Description of Materials (Soil-ASTM D2488 or D2487, Rock-USACE EM1110-1-2908)	BPF	WL	Tests or Notes
0.0	FILL	FILL: Lean Clay trace Organics, with Sand and pockets of Fat Clay, dark gray and brown, dry-to-moist	(7)		
11.0	CL	SANDY LEAN CLAY trace Organics, dark brown, moist, medium to stiff (Alluvium)	(14)		
18.0	SP-SC	POORLY GRADED SAND with CLAY trace Gravel, fine- to coarse- grained, brown, wet, very loose to medium (Alluvium)	(3)	▽	
30.0		END OF BORING. Water observed at 19 feet while drilling. Boring then backfilled.	(17)		

(See Descriptive Terminology sheet for explanation of abbreviations)

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Braun Project B1510576 Geotechnical Evaluation Ames Municipal Electric Ames, Iowa			BORING: STP-4 LOCATION: See Attached Sketch		
DRILLER: K. Elliott/K. Simpson	METHOD: 3 1/4" HSA, Autohammer	DATE: 11/3/15	SCALE: 1" = 4.4'		
Depth feet	Symbol	Description of Materials (Soil-ASTM D2488 or D2487, Rock-USACE EM1110-1-2908)	BPF	WL	Tests or Notes
0.0	FILL	FILL: Lean Clay trace Organics, with Sand and pockets of Fat Clay, dark brown and gray, moist	(4)		
8.5	CL	SANDY LEAN CLAY trace Organics, dark brown to brown gray, moist, medium to rather stiff (Alluvium)	(9)		
19.0	SP-SM	POORLY GRADED SAND with SILT trace Gravel, fine- to medium- grained, gray, wet, loose (Alluvium)	(6)		
26.0	SP	POORLY GRADED SAND with Gravel, fine- to coarse- grained, gray, wet, loose to medium (Alluvium)	(14)		
				▽	PP=2.0 tsf

(See Descriptive Terminology sheet for explanation of abbreviations)

LOG OF BORING N:\GINT\PROJECTS\CEDARRAPIDS\2015\B1510576.GPJ BRAUN_V8_CURRENT.GDT 12/9/15 17:08

Braun Project B1510576 Geotechnical Evaluation Ames Municipal Electric Ames, Iowa			BORING: STP-4 (cont.) LOCATION: See Attached Sketch		
DRILLER: K. Elliott/K. Simpson		METHOD: 3 1/4" HSA, Autohammer		DATE: 11/3/15	SCALE: 1" = 4.4'
Depth feet	Symbol	Description of Materials (Soil-ASTM D2488 or D2487, Rock-USACE EM1110-1-2908)	BPF	WL	Tests or Notes
35.0		POORLY GRADED SAND with Gravel, fine- to coarse- grained, gray, wet, loose to medium (Alluvium) (continued)			
			(17)		
			(14)		
			(18)		
			(18)		
			(9)		
			(22)		
			(18)		
70.0					

(See Descriptive Terminology sheet for explanation of abbreviations)

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 B1510576

Braun Project B1510576 Geotechnical Evaluation Ames Municipal Electric Ames, Iowa	BORING: STP-4 (cont.)
	LOCATION: See Attached Sketch

DRILLER: K. Elliott/K. Simpson	METHOD: 3 1/4" HSA, Autohammer	DATE: 11/3/15	SCALE: 1" = 4.4'
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Depth feet	Symbol	Description of Materials (Soil-ASTM D2488 or D2487, Rock-USACE EM1110-1-2908)	BPF	WL	Tests or Notes
70.0		END OF BORING. Water observed at 18 feet while drilling. Boring then backfilled.			

(See Descriptive Terminology sheet for explanation of abbreviations)

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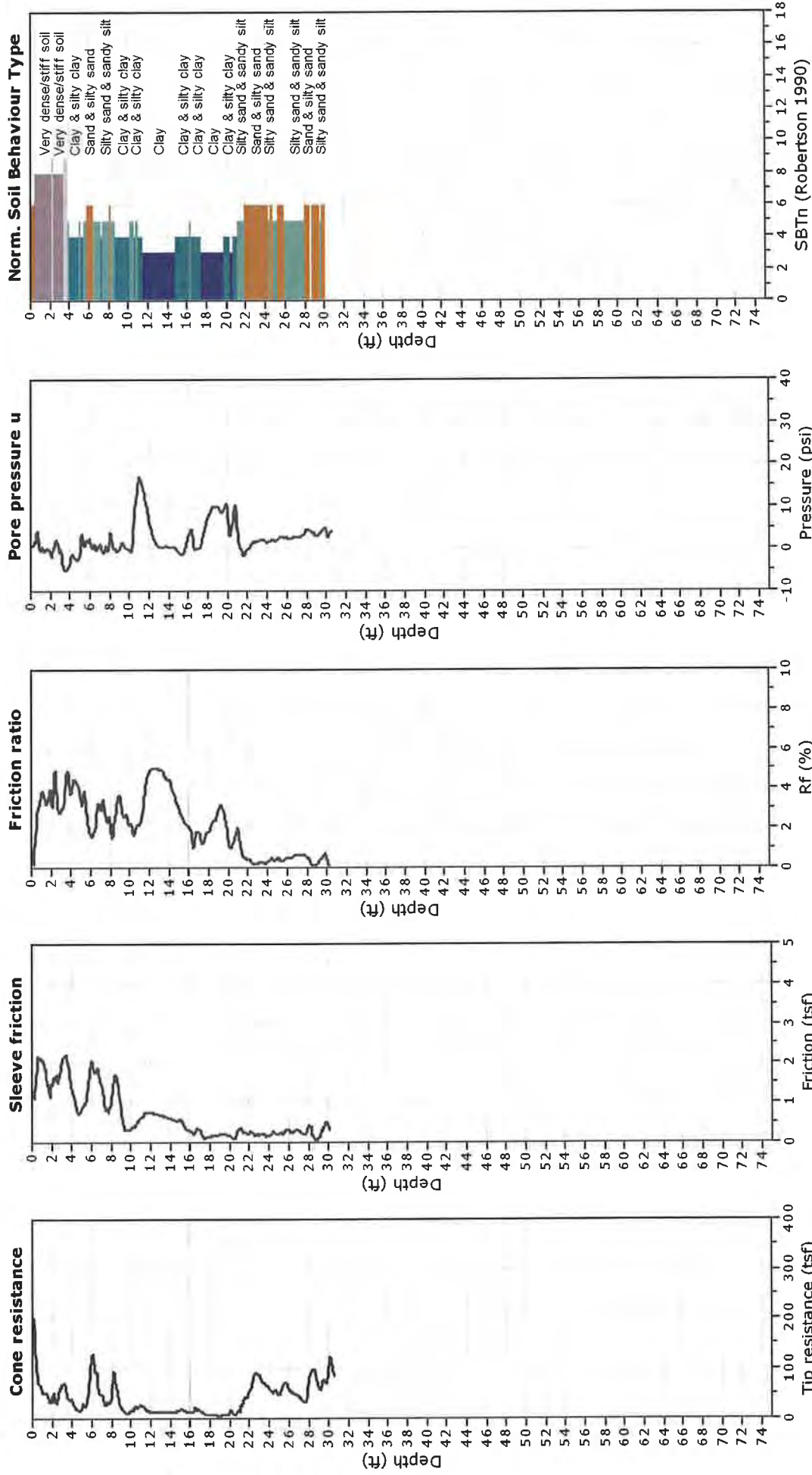
CPT Sounding Results



Braun Intertec Corporation
11001 Hampshire Ave S
Minneapolis, MN 55438
952-995-2000

CPT: CPT-1
Total depth: 30.56 ft, Date: 11/5/2015
Cone Type: SCPTu
Cone Operator: Holmbo

Project: Ames Municipal Electrical System
Location: Ames, IA **Project Number:** B1510576

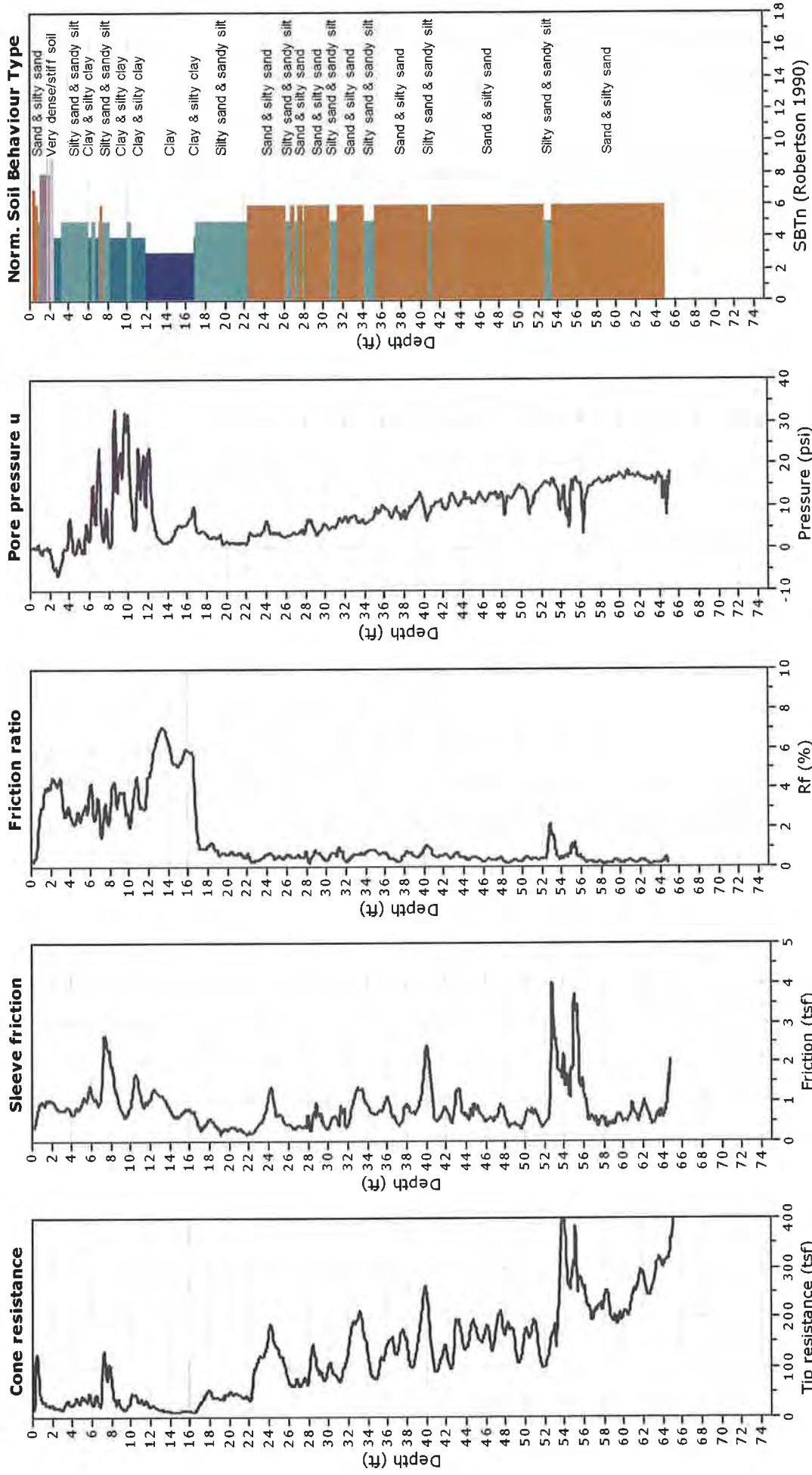




Braun Intertec Corporation
 11001 Hampshire Ave S
 Minneapolis, MN 55438
 952-995-2000

CPT: CPT-2
 Total depth: 65.11 ft, Date: 11/5/2015
 Cone Type: SCPTu
 Cone Operator: Holmbo

Project: Ames Municipal Electrical System
Location: Ames, IA Project Number: B1510576



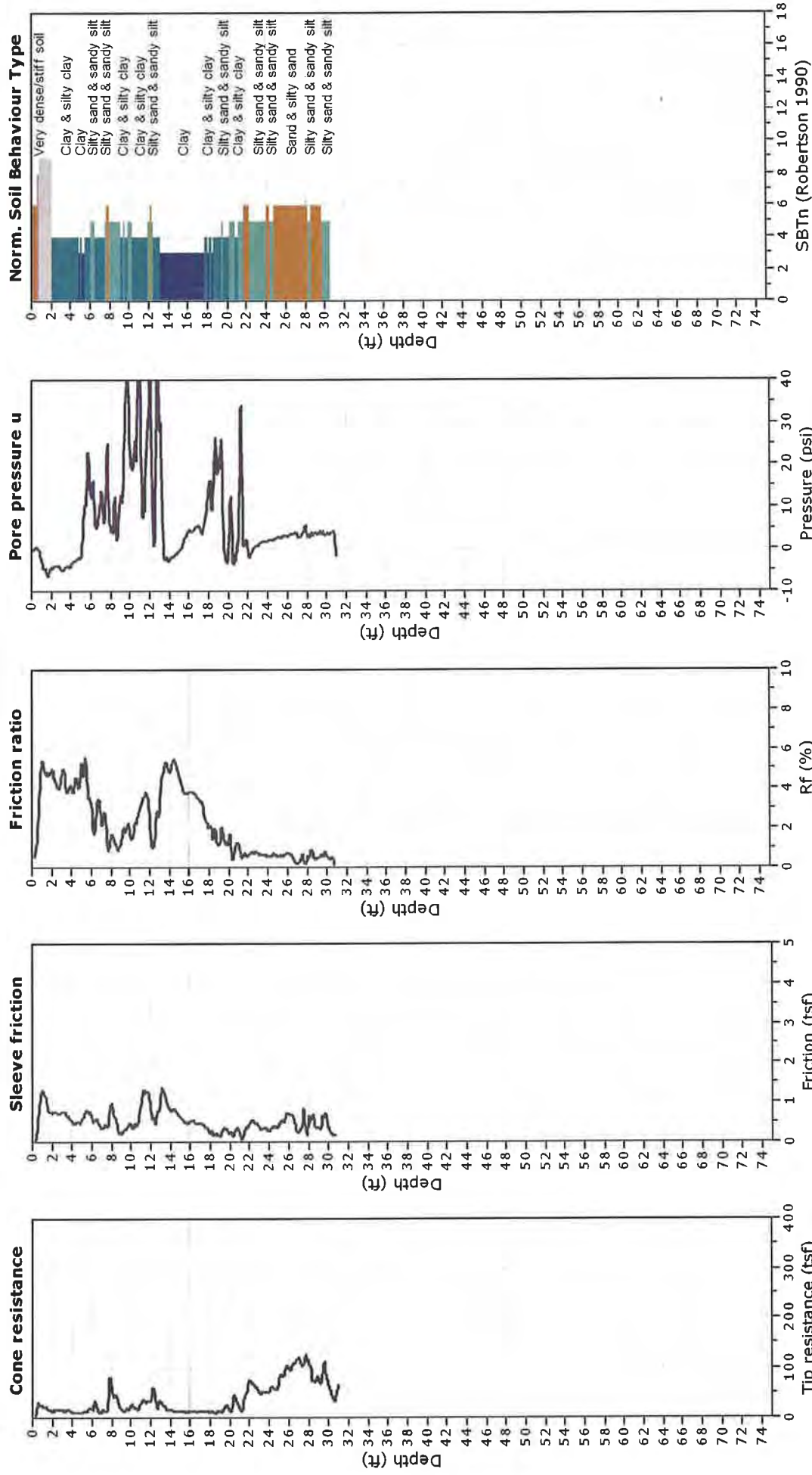


Braun Intertec Corporation
 11001 Hampshire Ave S
 Minneapolis, MN 55438
 952-995-2000

CPT: CPT-3

Total depth: 31.04 ft, Date: 11/5/2015
 Cone Type: SCPTu
 Cone Operator: Holmbo

Project: Ames Municipal Electrical System
Location: Ames, IA Project Number: B1510576



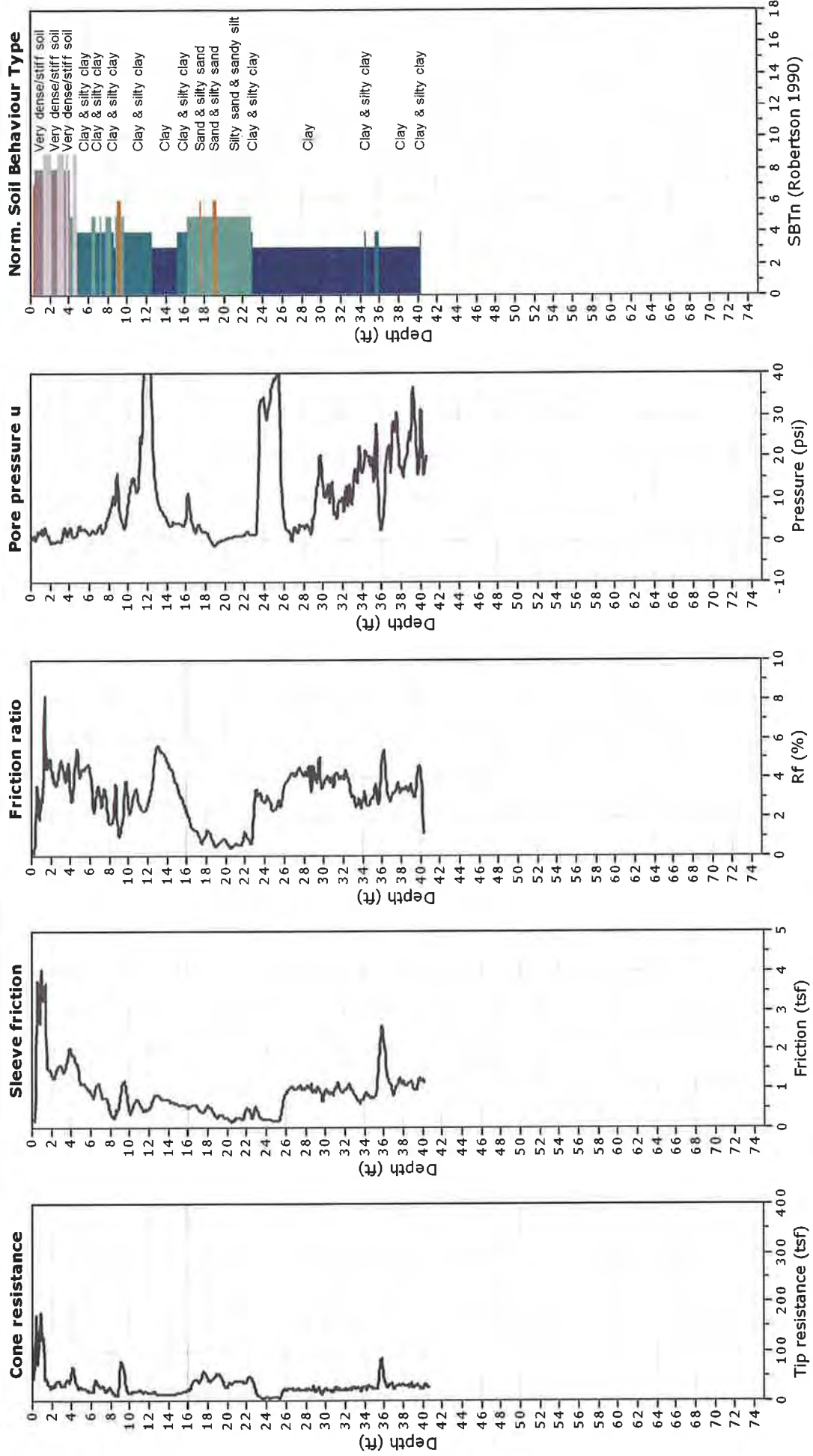


Braun Intertec Corporation
 11001 Hampshire Ave S
 Minneapolis, MN 55438
 952-995-2000

CPT: CPT-5

Total depth: 40.58 ft, Date: 11/5/2015
 Cone Type: SCPTu
 Cone Operator: Holmbo

Project: Ames Municipal Electrical System
Location: Ames, IA Project Number: B1510576



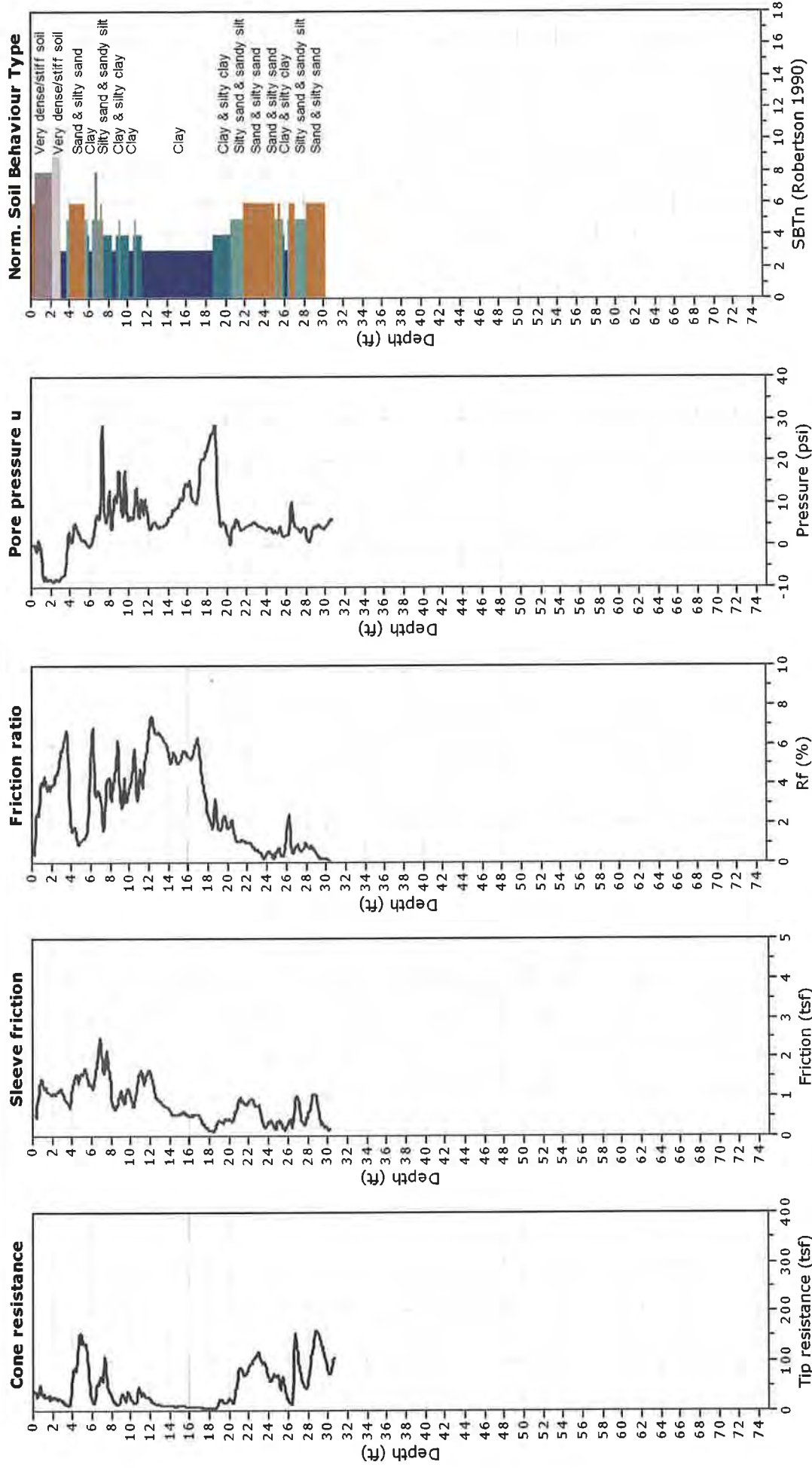


Braun Intertec Corporation
 11001 Hampshire Ave S
 Minneapolis, MN 55438
 952-995-2000

CPT: CPT-7

Total depth: 30.67 ft, Date: 11/5/2015
 Cone Type: SCPTu
 Cone Operator: Holmbo

Project: Ames Municipal Electrical System
Location: Ames, IA Project Number: B1510576

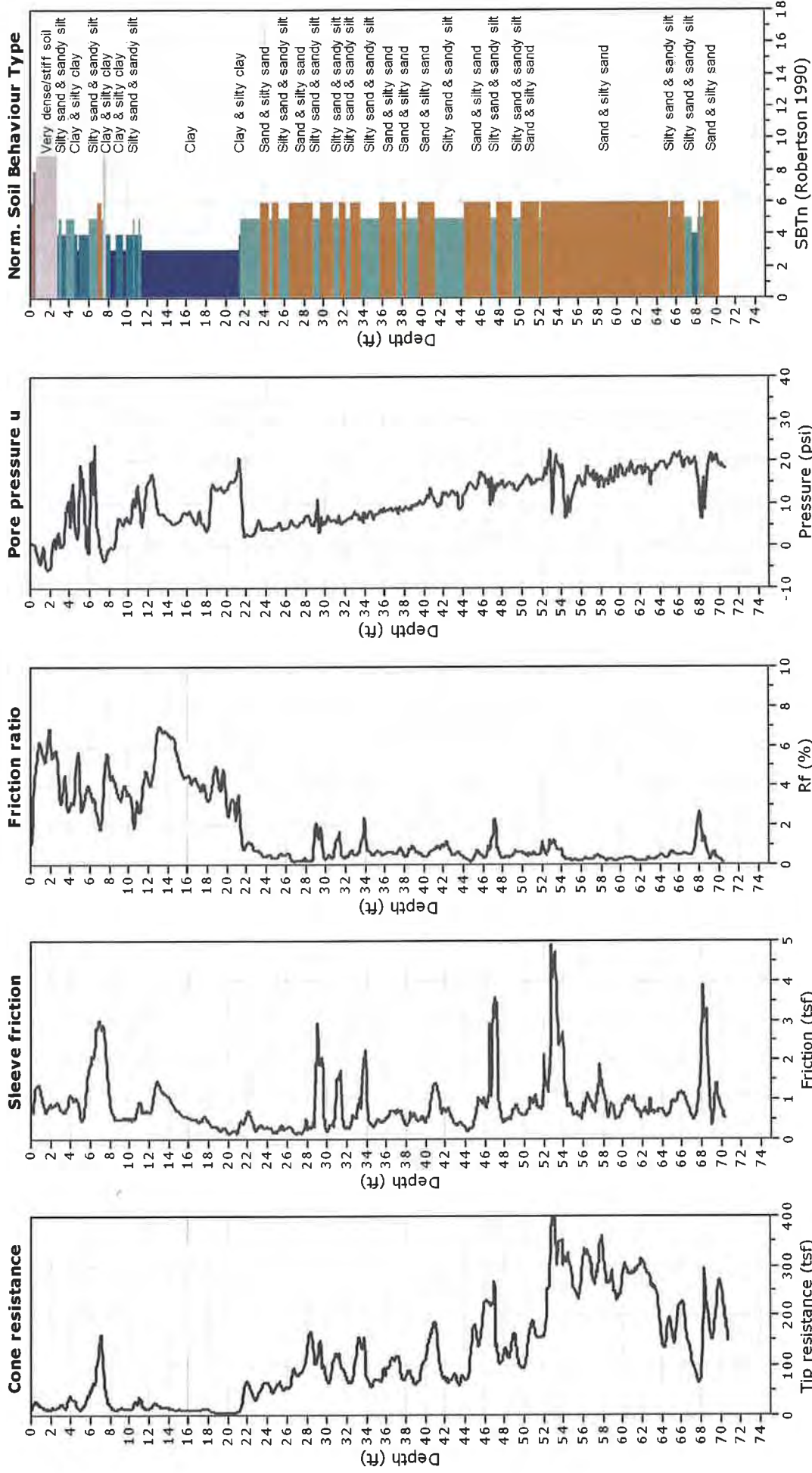




Braun Intertec Corporation
 11001 Hampshire Ave S
 Minneapolis, MN 55438
 952-995-2000

CPT: CPT-8
 Total depth: 70.62 ft, Date: 11/5/2015
 Cone Type: SCPTu
 Cone Operator: Holmbo

Project: Ames Municipal Electrical System
Location: Ames, IA Project Number: B1510576



Soil Sample Laboratory Test Results

Material Test Report

Report No: MAT:W15-011214-S1

Issue No: 1

Client: Jason Warne
Wenck Associates, Inc.
1800 Pioneer Creek Center
Maple Plain, MN, 55359

Project: B1510576
Ames Municipal Electric System
200 E. 5th St.
Ames, IA, 50010

TR: Jeremy Elkin, jelkin@braunintertec.com



Jeremy Elkin
Operations Supervisor
Date of Issue: 1/15/2016

Sample Details

Sample ID: W15-011214-S1
Alternate Sample ID: STP-1 (18-20)
Sampled By:
Sampling Method:
Date Sampled:
Date Submitted:
Specification: General Gradation
Source:
Material Type:
Sample Location:

Atterberg Limit:

Liquid Limit: 38
Plastic Limit: 17
Plasticity Index: 21
Linear Shrinkage (%): N/A

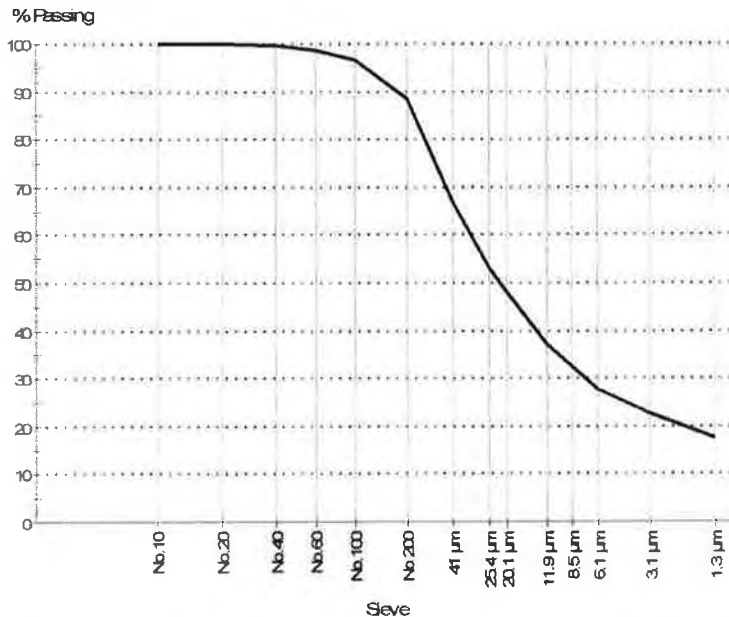
Sample Description:

Grading: ASTM D 422 - 07

Drying by: Oven
Date Tested: 1/15/2016

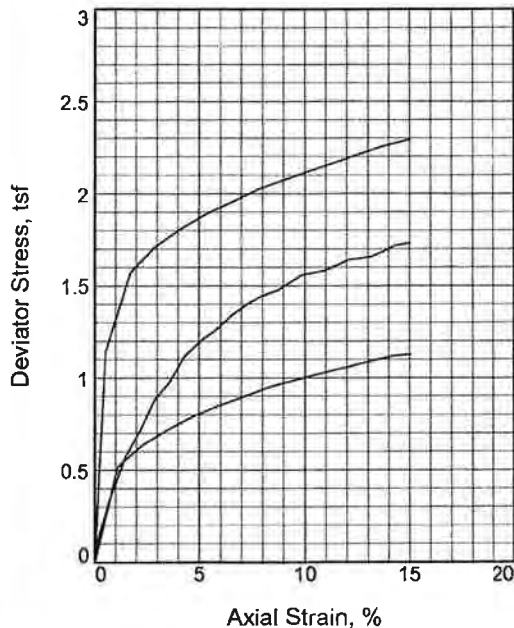
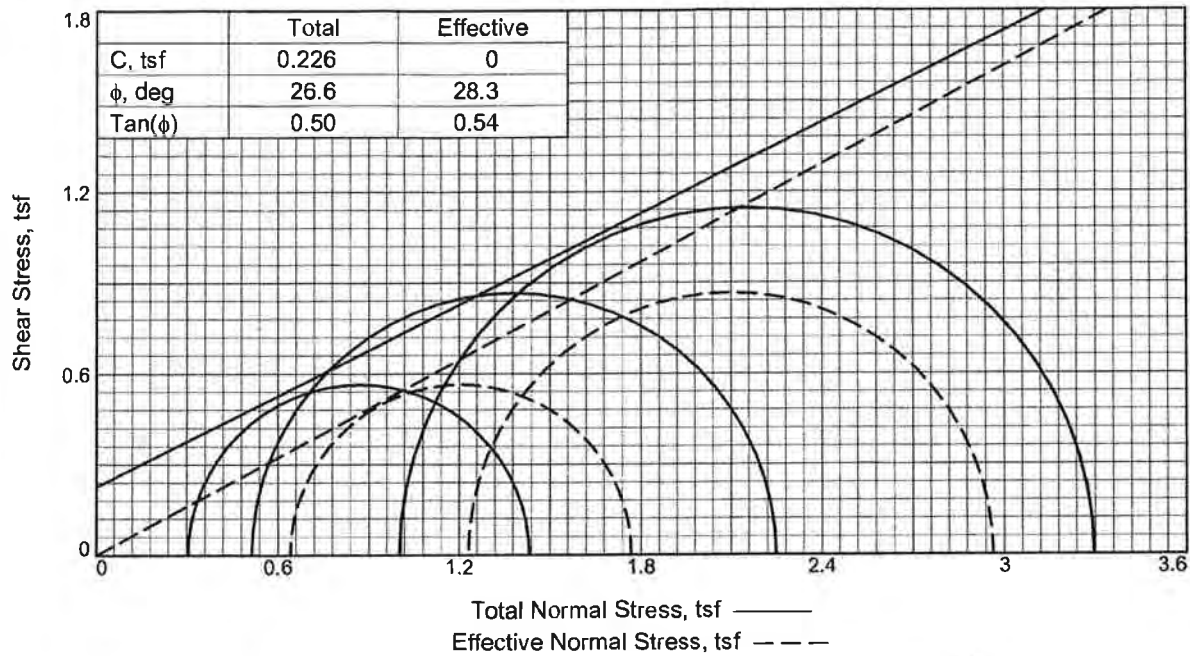
Sieve Size	% Passing	Limits
No.10 (2.0mm)	100	
No.20 (850µm)	100	
No.40 (425µm)	100	
No.60 (250µm)	99	
No.100 (150µm)	97	
No.200 (75µm)	88	
41.0 µm	66.9	
25.4 µm	53.1	
20.1 µm	47.9	
11.9 µm	37.1	
8.5 µm	32.4	
6.1 µm	27.6	
3.1 µm	22.8	
1.3 µm	17.4	

Particle Size Distribution



COBBLES	GRAVEL		SAND			FINES	
	Coarse (0.0%)	Fine (0.0%)	Coarse (0.0%)	Medium (0.3%)	Fine (11.4%)	Silt (62.5%)	Clay (25.8%)
(0.0%)	(0.0%)	(0.0%)	(0.0%)	(0.3%)	(11.4%)	(62.5%)	(25.8%)

D85: 0.0682 **D60:** 0.0323 **D50:** 0.0221
D30: 0.0072 **D15:** 0.0009 **D10:** 0.0004



	1	2	3	
Sample No.				
Initial	Water Content, %	19.1	13.6	15.8
	Dry Density, pcf	108.7	117.2	113.8
	Saturation, %	93.5	83.7	88.9
	Void Ratio	0.5502	0.4380	0.4810
	Diameter, in.	1.418	1.420	1.407
Height, in.	2.795	2.794	2.790	
At Test	Water Content, %	20.1	15.7	17.5
	Dry Density, pcf	109.3	118.5	114.4
	Saturation, %	100.0	100.0	100.0
	Void Ratio	0.5419	0.4226	0.4731
	Diameter, in.	1.415	1.415	1.404
Height, in.	2.790	2.784	2.785	
Pore Pressure Parameter B	1.0	1.0	1.0	
Consolidation Pressure, tsf	0.31	0.52	1.00	
Back Pressure, tsf	6.82	6.62	6.13	
Cell Pressure, tsf	7.13	7.14	7.13	
Peak Deviator Stress, tsf	1.13	1.73	2.29	
Total Pore Pr., tsf	6.49	5.90	6.13	
Ultimate Deviator Stress, tsf	1.13	1.73	2.29	
Total Pore Pr., tsf	6.49	5.90	6.13	
Maj. Eff. Stress at Ultimate, tsf	1.77	2.96	3.30	
Min. Eff. Stress at Ultimate, tsf	0.64	1.23	1.00	

Type of Test:

CU with Pore Pressures

Sample Type: Thinwall

Description: SANDY LEAN CLAY, brown (CL)

Assumed Specific Gravity= 2.70

Remarks: Rate of strain is 0.001 in/min. Failure criteria is based on the ultimate stress which occurs at 15% strain. Samples were saturated for 10 days and consolidated for 3 days.

Figure CU Triax ASTM D 4767

Client: Wenck Associates, Inc.

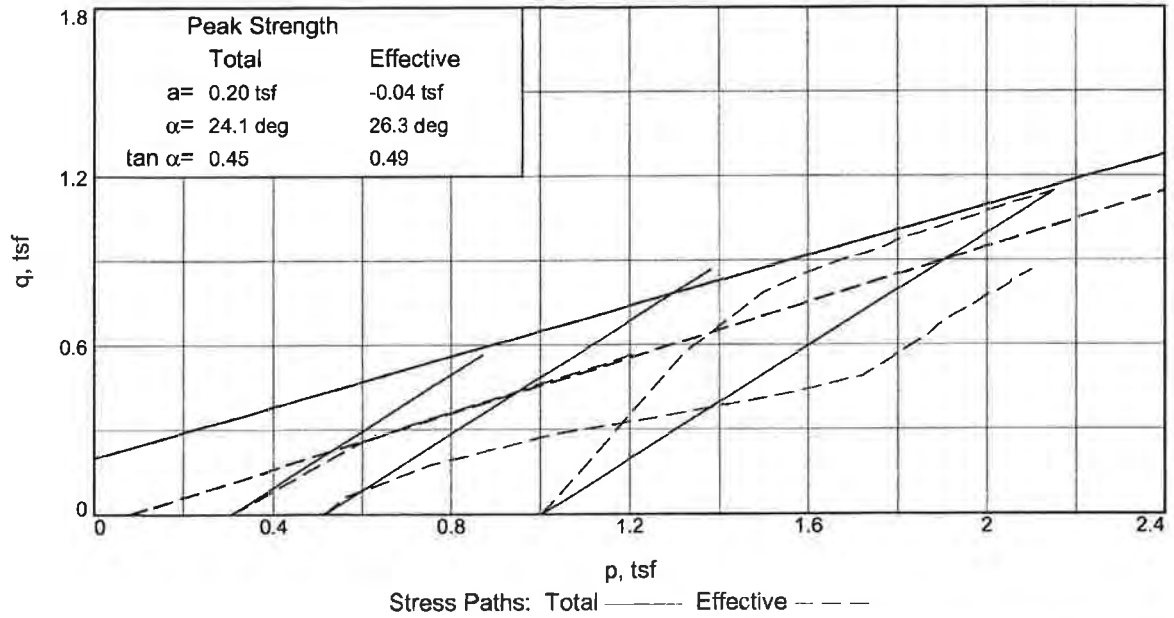
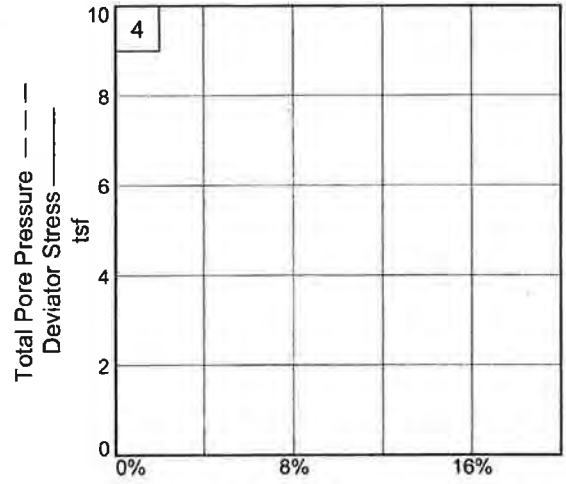
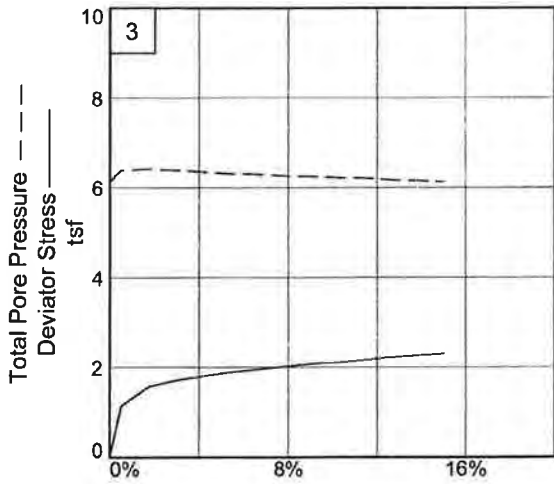
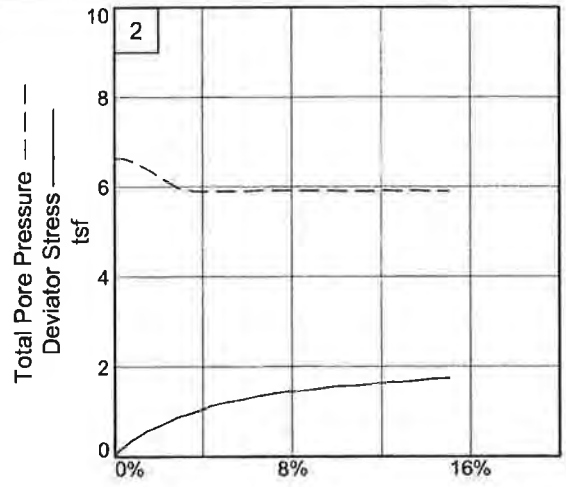
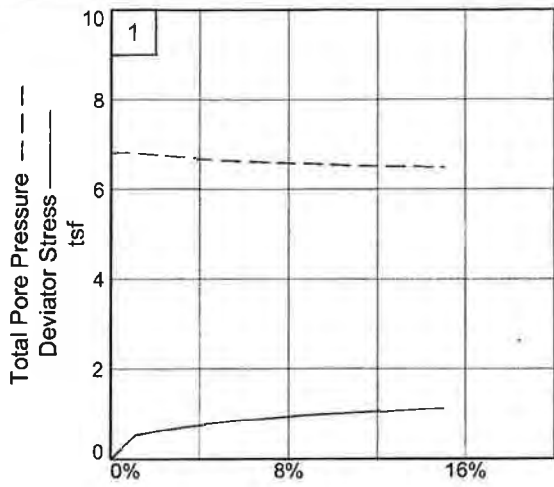
Project: Ames Municipal Electric System
200 E. 5th St., Ames, IA

Sample Number: STP-2 **Depth:** 8-10'

Proj. No.: B1510576

Date Sampled:

BRAUN™
INTERTEC



Client: Wenck Associates, Inc.

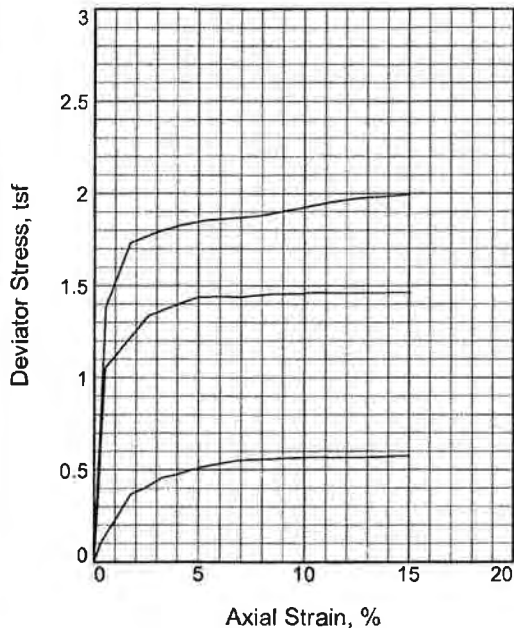
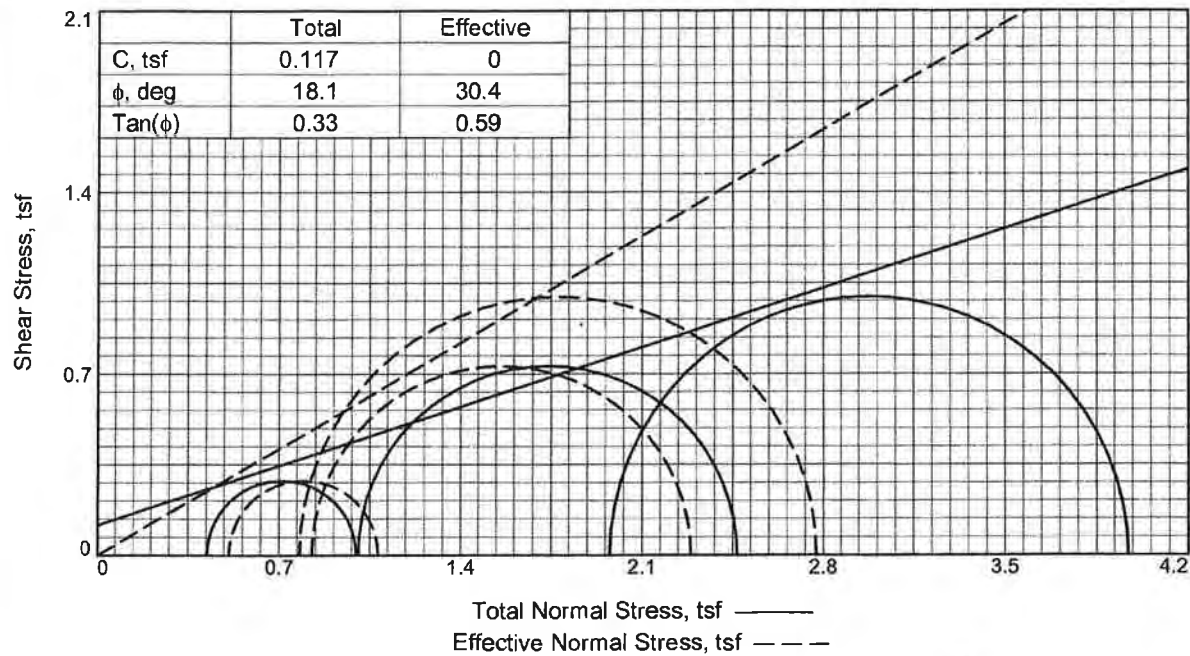
Project: Ames Municipal Electric System

Depth: 8-10' **Sample Number:** STP-2

Project No.: B1510576

Figure _____

Braun Intertec



Sample No.	1	2	3	
Initial	Water Content, %	24.7	20.5	22.3
	Dry Density, pcf	100.9	103.4	102.9
	Saturation, %	99.3	87.7	94.3
	Void Ratio	0.6713	0.6303	0.6374
	Diameter, in.	1.429	1.380	1.413
Height, in.	2.775	2.799	2.790	
At Test	Water Content, %	24.5	23.0	23.0
	Dry Density, pcf	101.4	103.9	104.1
	Saturation, %	100.0	100.0	100.0
	Void Ratio	0.6623	0.6216	0.6198
	Diameter, in.	1.426	1.378	1.408
Height, in.	2.770	2.794	2.780	
Pore Pressure Parameter B	1.0	1.0	1.0	
Consolidation Pressure, tsf	0.43	1.00	1.98	
Back Pressure, tsf	6.73	6.13	5.15	
Cell Pressure, tsf	7.16	7.13	7.13	
Peak Deviator Stress, tsf	0.57	1.46	1.99	
Total Pore Pr., tsf	6.65	6.31	6.35	
Ultimate Deviator Stress, tsf	0.57	1.46	1.99	
Total Pore Pr., tsf	6.65	6.31	6.35	
Maj. Eff. Stress at Ultimate, tsf	1.08	2.29	2.77	
Min. Eff. Stress at Ultimate, tsf	0.51	0.83	0.78	

Type of Test:
CU with Pore Pressures

Sample Type: Thinwall

Description: LEAN CLAY, brown (CL)

Assumed Specific Gravity= 2.70

Remarks: Rate of strain is 0.001 in/min. Failure criteria is based on the ultimate stress which occurs at 15% strain. Samples were saturated for 10 days and consolidated for 3 days.

Figure CU Triax ASTM D 4767

Client: Wenck Associates, Inc.

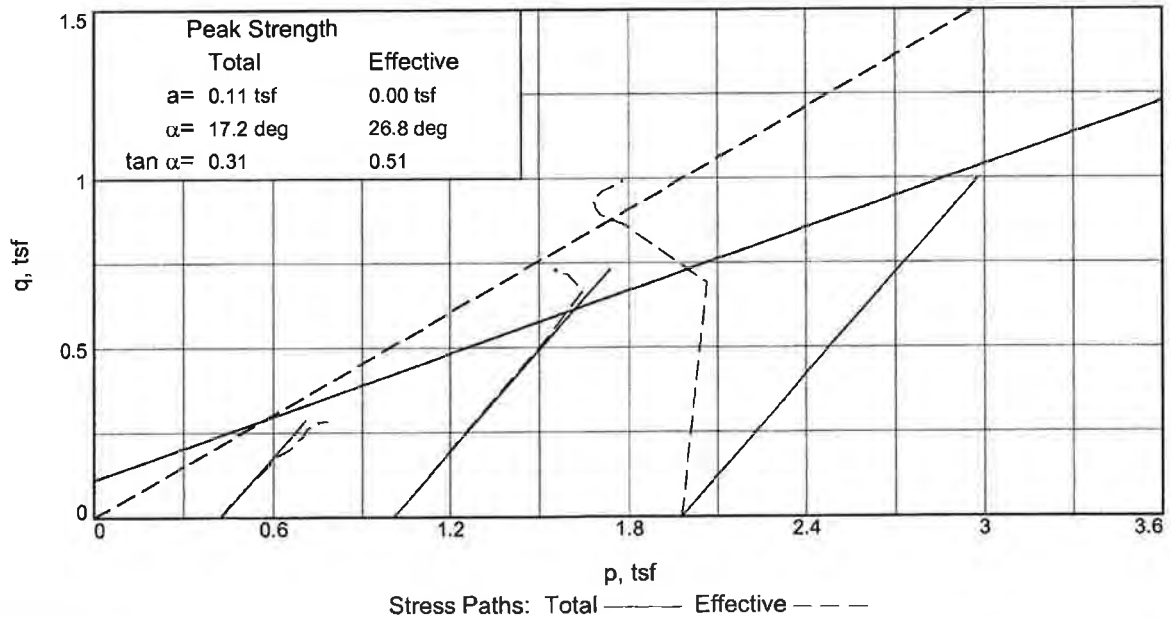
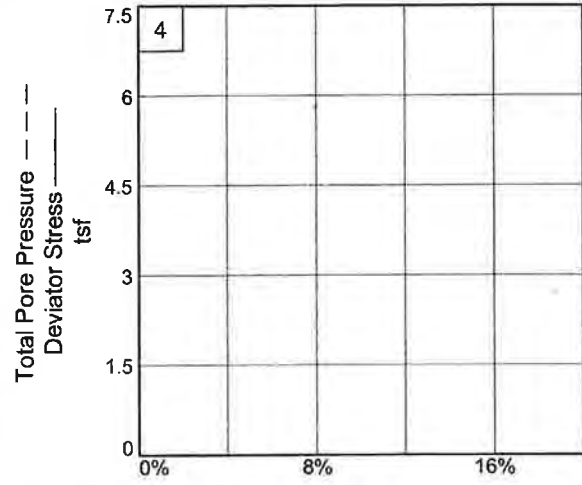
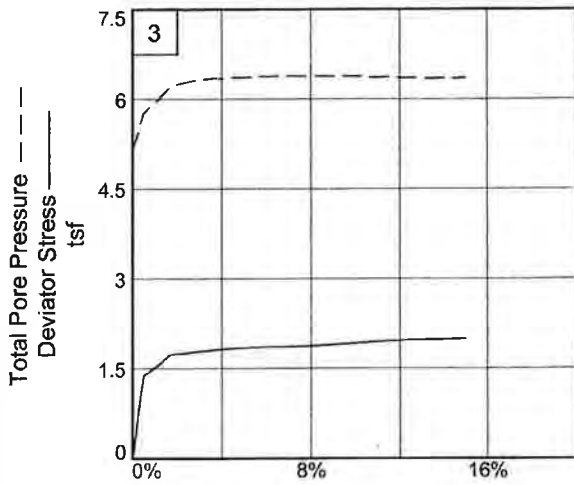
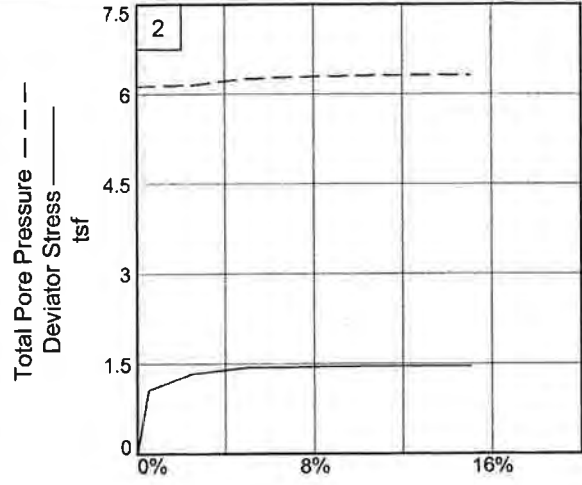
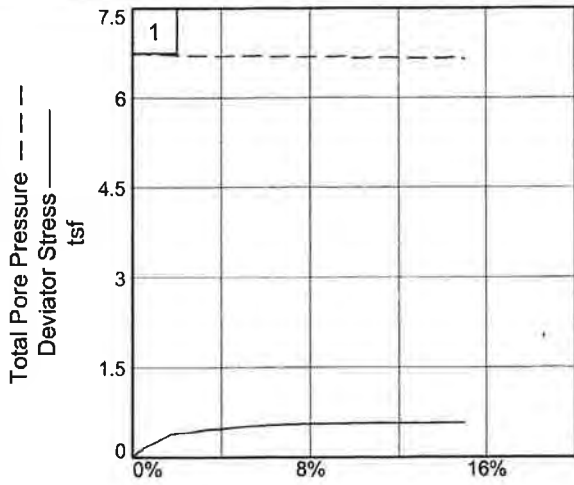
Project: Ames Municipal Electric System
200 E. 5th St., Ames, IA

Sample Number: STP-3 **Depth:** 16-18'

Proj. No.: B1510576

Date Sampled:

BRAUN™
INTERTEC



Client: Wenck Associates, Inc.

Project: Ames Municipal Electric System

Depth: 16-18' **Sample Number:** STP-3

Project No.: B1510576

Figure _____

Braun Intertec

Report No: MAT:W15-011214-S2

Issue No: 1

Material Test Report

Client: Jason Warne
Wenck Associates, Inc.
1800 Pioneer Creek Center
Maple Plain, MN, 55359

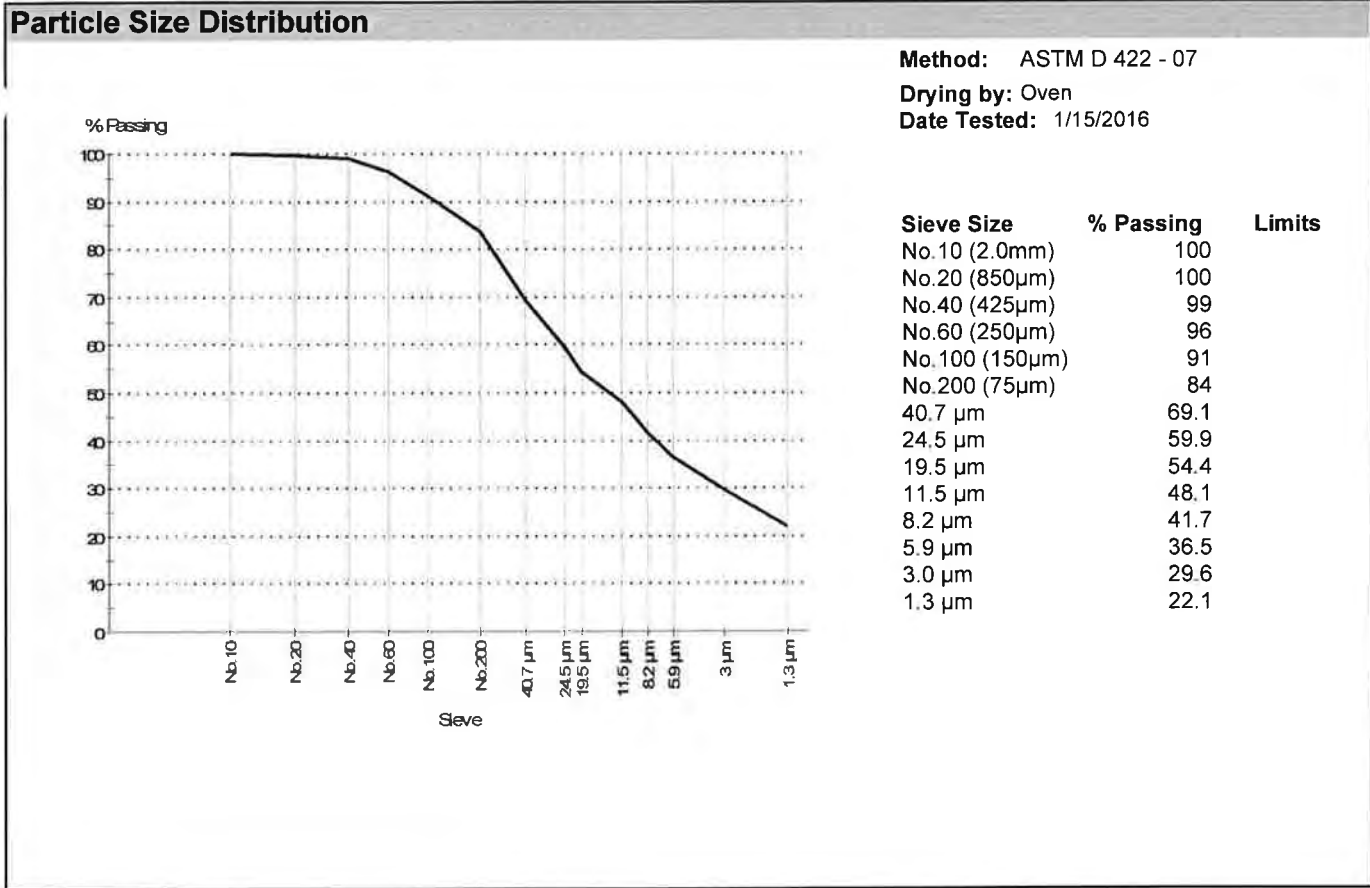
Project: B1510576
Ames Municipal Electric System
200 E. 5th St.
Ames, IA, 50010

TR: Jeremy Elkin, jelkin@brauintertec.com



Jeremy Elkin
Operations Supervisor
Date of Issue: 1/15/2016

Sample Details		Other Test Results			
Sample ID:	W15-011214-S2	Description	Method	Result	Limits
Alternate Sample ID:	STP-4 (11-13)	Dispersion device	ASTM D 422 - 07		
Sampled By:		Dispersion time (min)			
Sampling Method:		Shape			
Date Sampled:		Hardness			
Date Submitted:		Liquid Limit	ASTM D 4318 - 05	52	
Specification:	General Gradation	Method		Method A	
Source:		Plastic Limit		22	
Material Type:		Plasticity Index		30	
Sample Location:		Sample history		Oven-dried	
		Date Tested		1/15/2016	



Comments
N/A